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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/587,529	06/01/2000	Yuji Kojima	FUJI 17.379	9500

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EXAMINER

NGUYEN, QUANG N

ART UNIT PAPER NUMBER

2141

DATE MAILED: 09/16/2003

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Please find below and/or attached an Office communication concerning this application or proceeding.

Office Action Summary

Application No.

09/587,529

Applicant(s)

KOJIMA ET AL.

Examiner

Quang N. Nguyen

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-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).
- Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 01 June 2000.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1-12 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 1-12 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 01 June 2000 is/are: a) ☒ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
- 11) ☐ The proposed drawing correction filed on _____ is: a) ☐ approved b) ☐ disapproved by the Examiner.
If approved, corrected drawings are required in reply to this Office action.
- 12) ☐ The oath or declaration is objected to by the Examiner.

Priority under 35 U.S.C. §§ 119 and 120

- 13) ☒ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
a) ☒ All b) ☐ Some * c) ☐ None of:
1. ☒ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. _____.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- * See the attached detailed Office action for a list of the certified copies not received.
- 14) ☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. § 119(e) (to a provisional application).
a) ☐ The translation of the foreign language provisional application has been received.
- 15) ☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. §§ 120 and/or 121.

Attachment(s)

- 1) ☒ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☒ Information Disclosure Statement(s) (PTO-1449) Paper No(s) 2.
- 4) ☐ Interview Summary (PTO-413) Paper No(s). _____.
- 5) ☐ Notice of Informal Patent Application (PTO-152)
- 6) ☐ Other: _____.

Detail Action

1. This Office Action is in response to the application No. 09/587,529 filed on 06/01/2000. Claims 1-12 are presented for examination.

Claim Rejections - 35 USC § 102

2. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

3. **Claims 1-2 are rejected under 35 U.S.C. 102(b) as being anticipated by Large et al. (US 5,608,662), herein after referred as Large.**

4. As to claim 1, Large teaches a packet data processing apparatus (a packet filter engine) for processing a packet received from a network by a processor, comprising:

a packet data access part, which has a plurality of registers arranged in series, shifting the received packet through the plurality of registers (i.e., a series of registers shown as pipeline register **130**) toward an outlet in synchronization with a clock (i.e., in

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synchronizing with control signals **135** generated by the receiver **120**) (Large, Fig. 1, C5: L29-67 and C6: L1-24);

wherein the processor processes the received packet (i.e., filtering the received packets such that only those packets that are accepted by the filter program as requiring processing by the data processing equipment are routed for processing) while the received packet is being shifted through the plurality of registers (Large, C4: 21-27, C6: L32-48).

5. As to claim 2, Large teaches the packet data processing apparatus of claim 1, further comprising:

an intermediate data maintaining part, which has a plurality of registers arranged in series (i.e., a series of registers shown as pipeline register **130**), sequentially shifting intermediate data showing a process result of the received packet through the plurality of registers toward the outlet in synchronization with the clock (Large, C6: L5-24).

6. Claims 8-10 are rejected under 35 U.S.C. 102(b) as being anticipated by Klim et al. (US 6,519,225), herein after referred as Klim.

7. As to claims 8 and 10, Klim teaches a data processing apparatus that has a number of data processors connected in a series by data lines so that data signals are processed in a preceding processor and communicated to a succeeding processor in the series, comprising:

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a plurality of processors being connected in series (Pa, Pb, Pc and Pd of Fig. 3), each processor comprising:

an "eval_tree" circuit provide a buffer which merely perform a storage function, so that reference to a series of processors may include a shift register or series of shift registers (i.e., a packet data access part, which has a plurality of registers arranged in series, shifting the received packet through the plurality of registers toward an outlet in synchronization with a clock) (Klim, Figs. 3-4, C2: L35-45 and C7: L50-57);

wherein the processor processes the received packet while the received packet is being shifted through the plurality of registers (Klim, C4: L34-40).

8. As to claim 9, Klim teaches the packet relay apparatus of claim 8, wherein each processor receives and processes data, hold the processed data, and then send the data to the next processor in the pipeline or to a receiving device at the end of the pipeline (i.e., independently processes the received packet being shifted by said packet data access part in accordance with a different instruction order) (Klim, C4: L34-40).

Claim Rejections - 35 USC § 103

9. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

10. Claims 3-7 are rejected under 35 U.S.C. 103(a) as being unpatentable over Large, in view of Angle et al. (US 6,519,225), herein after referred as Angle.

11. As to claims 3-7, Large teaches the packet data processing apparatus of claim 1, but does not explicitly teach further comprising a search table, wherein said processor searches the search table using data of the received packet, retrieves information corresponding to the data of the received packet and processes the received packet being shifted in accordance with a set of instructions for executing a checksum calculation and a Time-to-Live calculation.

In the related art, Angle teaches a method and apparatus for scheduling multicast data in an input-queued network device, wherein the forwarding logic 106 determines the output port(s) to which received packets need to be forwarded and performs other Internet Protocol (IP) header processing, such as appending the next hop Media Access Control (MAC) address (as well-known in the art, the MAC address is a 48-bit field that includes addresses for both source addresses and destination addresses that can be unicast, multicast or broadcast addresses) retrieved from a forwarding data base (i.e., a search table), updating the Time-to-Live (TLL) field, and calculating a new header checksum (Angle, C4: L20-26).

Therefore, it would have been obvious to one having ordinary skill in the art at the time the invention was made to combine the teachings of Large and Angle to include the steps of searching the search table to retrieve information corresponding to

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the data of the received packet and processing the received packet with a set of instructions for executing a checksum and Time-to-Live calculation because it were conventionally employed in the art to allow the system to identify the destination address in the received packet, retrieve the transmission information (from the forwarding database, i.e., search table) and calculate the header error checksum to determine how and whether to route the information received to the destinations or applications for which they are intended.

12. Claims 11-12 are rejected under 35 U.S.C. 103(a) as being unpatentable over Large, in view of Donley (US 6,081,538).

13. As to claims 11-12, Large teaches the packet data processing apparatus of claim 1, but does not explicitly teach further comprising a write/read-position changing part changing a write/read-position of said plurality of registers of the packet data access part where the write/read-position defines an inlet/outlet point at which said packet data access part receives/sends the packet from/to an exterior thereof.

In the related art, Donley teaches a network node for receiving a packet of data written from the network and providing the packet to the network including a first counter that produces a write-point signal to select a first one of the registers to receive the first data from the network (i.e., to define an inlet point at which said packet data access part receives the packet from an exterior thereof) and a second counter that produces a read-point signal with a further register being selected by the read-point signal to

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provide the second data to the network (i.e., to define an outlet point at which said packet data access part sends the packet to an exterior thereof) (Donley, Abstract, C3: L38-67 and C4: L1-36).

Therefore, it would have been obvious to one having ordinary skill in the art at the time the invention was made to combine the teachings of Large and Donley to include a write/read-position changing part changing a write/read-position of said plurality of registers of the packet data access part where the write/read-position defines an inlet/outlet point at which said packet data access part receives/sends the packet from/to an exterior thereof because it were conventionally employed in the art to allow the system to synchronize the control signals and data signals with the clock signals to configure the input/output data signals for receiving (inputting) and delivering (outputting) the data to the appropriate destinations.

14. Further references of interest are cited on Form PTO-892, which is an attachment to this office action.

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15. A shortened statutory period for reply to this action is set to expire THREE (3) months from the mailing date of this communication. See 37 CFR 1.134.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Quang N. Nguyen whose telephone number is (703) 305-8190.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's primary, Le H. Luu, can be reached at (703) 305-9650. The fax phone number for the organization is (703) 746-7239.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the receptionist whose telephone number is (703) 305-3800/4700.

Quang N. Nguyen

A handwritten signature in black ink, appearing to read 'Le Hien Luu', with a long horizontal flourish extending to the right.

LE HIEN LUU
PRIMARY EXAMINER